Form PTO-1449

Applicant: Hashem Mohammad Ebrahimi and Robert Drew Major

Atty Docket No. 1909.2.72

Sheet 1 of 2

Serial No.: Filing Date:

January 18, 2000

Group: 2, 33

For:

BROKERING STATE INFORMATION AND IDENTITY AMONG USER

AGENTS, ORIGIN SERVERS, AND PROXIES

## LIST OF PRIOR ART CITED BY APPLICANT

## U.S. Patent Documents

| Examiner  |     | Document   | Issue                |                                 |            | Sub              | Filing                       | @ <b>@</b> |  |  |  |  |
|---|-----|--|----------------------|---------------------------------|------------|------------------|------------------------------|------------|--|--|--|--|
| Initial*  |     | Number 5 012 025   | <u>Date</u>          | Name                            | Class      | Class            | Date_                        |            |  |  |  |  |
| $\frac{\mathcal{EC}}{\mathcal{CC}} \stackrel{A}{\to} A$ |     | 5,913,025<br>5,805,803   | 06/15/99<br>09/08/98 | Higley et al.<br>Birrell et al. | 395<br>395 | 187.01<br>187.01 | 11/14/96<br>05/13/9 <b>7</b> | ) 3 J      |  |  |  |  |
| A   | 12  | 3,803,803  | 09/06/96             | Differi et al.                  | 393        | 187.01           | 03/13/97                     | 20         |  |  |  |  |
| Other References  |     |  |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .3  | Andrew S. Tanenbaum, <u>Computer Networks</u> , 3d. Ed. (1996), pp. 28-39, 396-417, 601-621, 681-695 |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .4  | "How the proxy works", July 22, 1998, pp. 1-2  |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .5  | Microsoft Proxy Server Marketing Bulletin, 1998, pp. 1-8   |                      |                                 |            |                  |                              |            |  |  |  |  |
| U_ A  | .6  | Werner Feibel, Novell's Complete Encyclopedia of Networking, 1995, pp. 625-630                       |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .7  | "A New Management and Security Architecture for Extranets", 1996-1999, pp. 1-14                      |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .8  | HTTP State Management Mechanism, August 27, 1999, pp. 1-25   |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .9  | HTTP Proxy State Management Mechanism, May 26, 1998, pp. 1-9   |                      |                                 |            |                  |                              |            |  |  |  |  |
| (LA   | .10 | HTTP State Management Mechanism (RFC 2109), February 1997, pp. 1-19                                  |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .11 | X11(7) manual page, no later than 1/15/2000, pp. 1-16  |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .12 | Cookies (cookiecentral.com), no later than 8/28/99, pp. 1-12   |                      |                                 |            |                  |                              |            |  |  |  |  |
| A   | .13 | IDzap.com, no later than 8/28/99, pp. 1-3  |                      |                                 |            |                  |                              |            |  |  |  |  |
| <u>(e</u> A   | .14 | Cookies (eurecom.fr), no later than 8/28/99, pp. 1-3   |                      |                                 |            |                  |                              |            |  |  |  |  |
|   | .15 | Using HTML 3.2, Java 1.1, and CGI, 1996, pp. 712-713   |                      |                                 |            |                  |                              |            |  |  |  |  |

Examiner:

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Date Considered:

10/7/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 2 of 2

Applicant:

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Serial No .:

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Group: 2,133

For:

BROKERING STATE INFORMATION AND IDENTITY AMONG USER

AGENTS, ORIGIN SERVERS, AND PROXIES

A16

Confidential U.S. Patent Application of Dougas G. Earl and Corey R. Newey for Client Inherited Functionally Derived From a Proxy Topology Where Each Proxy is Independently Configured

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. 1.97 through 1.99. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

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balleti

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Ebrahimi et al. Applicant:

Serial No.:

09/484,691

Filing Date: For:

January 18, 2000 BROKERING STATE INFOR Att'y Docket No. 1909.2.72

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## LIST OF PRIOR ART CITED BY APPLICANT

|                             |                    | U.S. Pa     | itent Documents |       | HAR.<br>CH CE               |
|-----------------------------|--------------------|-------------|-----------------|-------|-----------------------------|
| Examiner<br><u>Initial*</u> | Document<br>Number | <u>Date</u> | <u>Name</u>     | Class | Sub Ffling Class Date Class |
| <i>ee</i> a1                | 5,991,810          | 23Nov99     | Shapiro et al.  | 709   | 229 <b>3</b> 01Aug97        |

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